



COPY OF PAPERS  
ORIGINALLY FILED

CP/215-2 #4

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dodd et al.

Serial No.: 10/066,461

Filed: January 31, 2002

For: Method and System for Calculating Risk  
in Association with a Security Audit of a  
Computer Network

RECEIVED

SEP 04 2002

Art Unit: 2152 Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

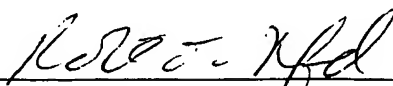
Commissioner for Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

Pursuant to 37 CFR § 1.97(e), the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the

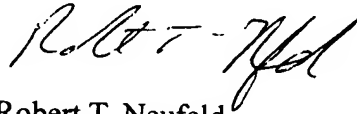
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 21, 2002.

  
Robert T. Neufeld, Reg. No. 48,394

Serial No. 10/066,461

Information Disclosure Statement was known to any individual designated in 37 CFR § 1.56(c) more than three (3) months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert T. Neufeld", written in a cursive style.

Robert T. Neufeld  
Reg. No. 48,394

King & Spalding  
45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
Atlanta, GA 30303  
404.572.4600

K&S Docket: 05456.105036 US  
2446455 v1

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**  
(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105036	SERIAL NO. 10/066,461	FILING DATE January 31, 2002
APPLICANT Dodd et al.		GROUP 2152

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,301,668 B1	10/9/2001	Gleichauf et al.			12/29/1998
	AB	6,324,647 B1	11/27/2001	Michel K. Bowman-Amuah			8/31/1999
	AC	6,405,364 B1	6/11/2002	Michel K. Bowman-Amuah			8/31/1999
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**RECEIVED**

SEP 04 2002

Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO.
	AL						
	AM						
	AN						
	AO						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AP	International Search Report dated August 8, 2002
AR	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.